FIBA Financial Intermediary & Broker Association

The Financial Intermediary & Broker Association
Fintel House, St Andrew's Road, Huddersfield HD1 6NA
Tel: 033 000 20987 • Email: enquiries@fiba.org.uk
www.fiba.org.uk

Member Engagement Form

Membership is for firms and not for individuals within firms.
Please complete all sections in BLOCK CAPITALS

COMPANY DETAILS

Name of company representative:

Position:

Firm name:

Company address:

Postcode:

Telephone:

Mobile:

Email address:

Website address:

INFORMATION ABOUT YOUR FIRM

(please complete and tick as appropriate)

How many employees does your firm have?

- ☐ 1 – 4
- ☐ 5 – 9
- ☐ 10 – 19
- ☐ 20 – 49
- ☐ 50+

What is your firm's main business activity?

- ☐ Commercial Mortgages
- ☐ Buy to Let Mortgages
- ☐ Short Term Finance
- ☐ Development Finance
- ☐ Specialist Finance
- ☐ Other

INFORMATION ABOUT YOUR FIRM & LENDING

Please provide the names of two lenders that have provided finance for your customers over the past 12 months.

First Lender:

Second Lender:

What is your firm's total number of customers?

Number:

What was the total amount of lending your firm referred in the last financial year?

Regulated: Unregulated:

INFORMATION ABOUT INSURANCE & COMPLIANCE

Which firm provides your Professional Indemnity Insurance?

Provider:

Renewal date:

Who currently provides your compliance support?

Provider:

☐ Please tick the box if you wish to discuss compliance support from Simplybiz Services Limited:

MEMBERSHIP FEES

☐ **Membership for firm only** – £30 per month, including VAT

☐ **Membership for firm and Appointed Representitive(s)**
Number of Appointed Representitive(s):
Please complete a separate form for each Appointed Representative

Membership fees will be taken by Direct Debit on the 27th of each month.

Price maximum guarantee: Please note that Membership is for the firm and employed advisers within it. In the event that Member firm is a principal firm whose Appointed Representatives ('AR') also wish to be FIBA members, Member firm will be charged £30 per month (including VAT) for each AR Member, subject to maximum Membership Fees in the amount of £150 per month, (including VAT), applicable to a Member firm having 5 or more ARs requesting membership.

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ACKNOWLEDGEMENT & ACCEPTANCE

(please complete and tick as appropriate)

- ☐ Firm is not insolvent.
- ☐ Firm is not subject to any existing or threatened dispute, claim or litigation that will or may prevent or restrict Firm from continuing as a financial intermediary or broker firm.
- ☐ Firm holds all relevant licences, consents, regulatory authorisations, permissions or approvals necessary to act as a financial intermediary or broker firm.
- ☐ Firm is covered by professional indemnity insurance.
- ☐ Firm complies with all applicable financial crime laws and regulations; including the UK Bribery Act 2010, the Criminal Finances Act 2017, sanctions, money laundering and anti-tax evasion regulations.
- ☐ Firm complies with all applicable laws relating to anti-slavery, including without limitation, the Modern Slavery Act 2015.

☐ I have read and accept the Terms and Conditions for the FIBA Membership found on the website at:
www.fiba.org.uk
Please tick to acknowledge you have read them.

☐ The signatory below has authority to act for the firm:

Accepted by:

Name:

Signature:

Position in firm (Principal/Director/Partner/Other):

Date:

FIBA will hold information about you and your dealings with us on our database for the purposes of administering our services, maintaining our relationship including providing a more personal level of service to you, improving customer care, research and analysis marketing our services and for legal and regulatory reasons. We will retain information for a reasonable period of time and we may share information about you for the purposes listed above (in the UK and abroad). By providing us with the relevant contact details for fax, mobile and email you consent to contact by these methods.

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Instruction to your Bank or Building Society to pay by Direct Debit

DIRECT Debit

Please complete the whole form, using a ball point pen, and send it to:

The Financial Intermediary & Broker Association
Fintel House, St Andrew's Road,
Huddersfield HD1 6NA

Name(s) of Account Holder(s):

Bank/Building Society Account Number:

Branch Sort Code:

Name and full postal address of your Bank/Building Society:

To: The Manager — Bank/Building Society

Address

Postcode

Reference:

Service user number: 8 6 6 6 8 8

For The Financial Intermediary & Broker Association official use only.
This is not part of the instruction to your Bank or Building Society.

Instruction to your Bank or Building Society

Please pay The Financial Intermediary & Broker Association Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with The Financial Intermediary & Broker Association and, if so, details will be passed electronically to my Bank/Building Society.

Signature(s)

Date

Banks and Building Societies may not accept Direct Debit instructions for some types of account.

DDI 15/15

This guarantee should be detached and retained by the payer.

The Direct Debit Guarantee

DIRECT Debit

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit The Financial Intermediary & Broker Association will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request The Financial Intermediary & Broker Association to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by The Financial Intermediary & Broker Association or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
- If you receive a refund you are not entitled to, you must pay it back when The Financial Intermediary & Broker Association asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

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