

Member Engagement Form

Membership is for firms and not for individuals within firms.
Please complete all sections in BLOCK CAPITALS

COMPANY DETAILS

Name of company representative:

Position:

Firm name:

Company address:

Postcode:

Telephone:

Mobile:

Email address:

Website address:

INFORMATION ABOUT YOUR FIRM

(please complete and tick as appropriate)

How many employees does your firm have?

1 - 4 5 - 9 10 - 19

20 - 49 50+

What is your firm's main business activity?

Commercial Mortgages Buy to Let Mortgages

Short Term Finance Development Finance

Specialist Finance Other

INFORMATION ABOUT YOUR FIRM & LENDING

Please provide the names of two lenders that have provided finance for your customers over the past 12 months.

First Lender:

Second Lender:

What is your firm's total number of customers?

Number:

What was the total amount of lending your firm referred in the last financial year?

Regulated:

Unregulated:

INFORMATION ABOUT INSURANCE & COMPLIANCE

Which firm provides your Professional Indemnity Insurance?

Provider:

Renewal date:

Who currently provides your compliance support?

Provider:

Please tick the box if you wish to discuss compliance support from Simplybiz Services Limited:

MEMBERSHIP FEES

Membership for firm only - £30 per month, including VAT

Membership for firm and Appointed Representative(s)

Number of Appointed Representative(s):

Please complete a separate form for each Appointed Representative

Membership fees will be taken by Direct Debit on the 27th of each month.

Price maximum guarantee: Please note that Membership is for the firm and employed advisers within it. In the event that Member firm is a principal firm whose Appointed Representatives ('AR') also wish to be FIBA members, Member firm will be charged £30 per month (including VAT) for each AR Member, subject to maximum Membership Fees in the amount of £150 per month, (including VAT), applicable to a Member firm having 5 or more ARs requesting membership.



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ACKNOWLEDGEMENT & ACCEPTANCE

(please complete and tick as appropriate)

- Firm is not insolvent.
- Firm is not subject to any existing or threatened dispute, claim or litigation that will or may prevent or restrict Firm from continuing as a financial intermediary or broker firm.
- Firm holds all relevant licences, consents, regulatory authorisations, permissions or approvals necessary to act as a financial intermediary or broker firm.
- Firm is covered by professional indemnity insurance.
- Firm complies with all applicable financial crime laws and regulations; including the UK Bribery Act 2010, the Criminal Finances Act 2017, sanctions, money laundering and anti-tax evasion regulations.
- Firm complies with all applicable laws relating to anti-slavery, including without limitation, the Modern Slavery Act 2015.

- I have read and accept the Terms and Conditions for the FIBA Membership found on the website at: www.fiba.org.uk
Please tick to acknowledge you have read them.

- The signatory below has authority to act for the firm:

Accepted by:

Name:

Signature:

Position in firm (Principal/Director/Partner/Other):

Date: