

# FINANCIAL INTERMEDIARY & BROKER ASSOCIATION



Representing you within the industry and beyond

**FIBA**

Financial Intermediary  
& Broker Association

# FINANCIAL INTERMEDIARY & BROKER ASSOCIATION

The Financial Intermediary and Broker Association (FIBA) is a modern association dedicated to supporting all professional finance intermediaries in growing their businesses and delivering excellent products and services to their clients. Our Association recognises that your business needs an organisation that is relevant to today's market and ready to represent you wherever we are needed. We recognise that most firms in the sector are also SMEs and our services are designed to provide relevant support across the areas that matter most to you. Serving the professional, commercial and regulatory needs of our Members is why we exist.

## WORKING FOR THE FINANCIAL INTERMEDIARY

As the UK's broadest financial intermediary and broker association, our voice will tackle the biggest issues facing your business with a view to creating a fairer market for our membership.

### MISSION STATEMENT

Our aim is to support finance professionals within the UK, enabling them to deliver outstanding solutions and service to their clients, whilst meeting their regulatory obligations.

### OBJECTIVE

We will support our Members by providing relevant training, guidance and assistance, as well as ensuring that they have access to a comprehensive network of lenders, detailed product knowledge, industry expert advice and dedicated compliance resources.

## YOUR PROFESSION

Choosing to provide lending and funding solutions to clients can be a very rewarding and profitable professional career in which the solutions are available to be accessed across literally hundreds of lenders. With this diversity comes the need to understand the markets and to remain informed about the variety of choice available and here FIBA is dedicated to ensuring you have access to the support you require.

## OUR REGIONAL EVENTS PROGRAMME

Quarterly regional seminars are held at locations close to you, at which you can:

- Interact with a diverse range of lenders in one location
- Meet other finance professionals
- Hear and share best practice from other practitioners
- Learn how to use a range of solutions to your clients' advantage
- Ensure you remain at the forefront of sector knowledge
- CPD accreditation available

## OUR ANNUAL CONFERENCE

This conference will aim to set the standards for the year ahead:

- Hear first-hand from industry leaders about trends and opportunities which affect your business
- Understand more about your regulatory environment and how to avoid being overwhelmed
- Celebrate the continuing success of the profession with your peers
- Network with industry leading lenders and funders

## MEMBER BENEFITS

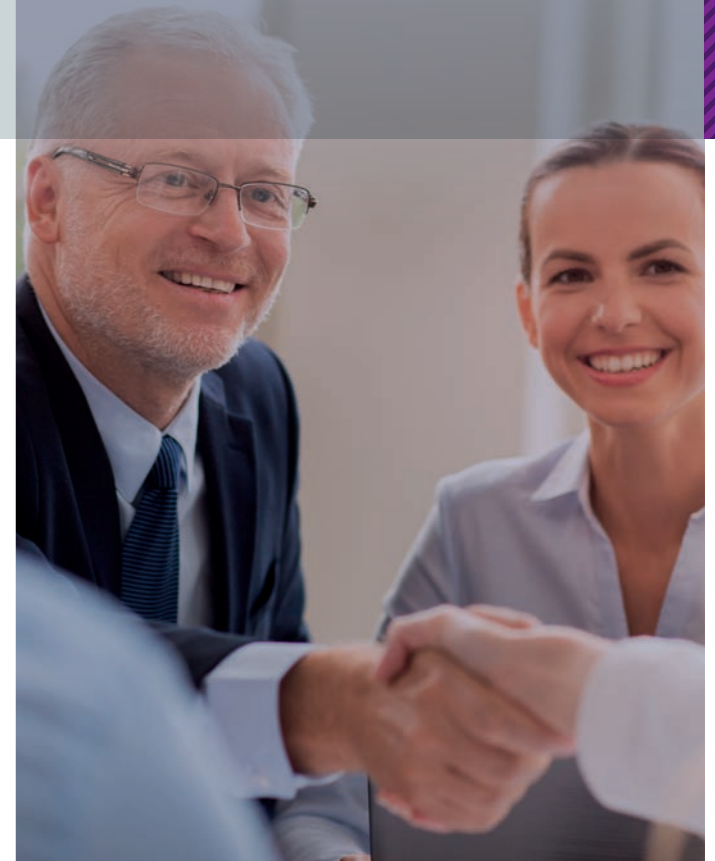
Access to advantageous professional information:

- Exclusive Lender arrangements
- Monthly FIBA e-newsletter, including commentary and articles on subjects which matter to you and your clients
- Frequent updates on industry developments in our sector
- New product launches
- Changes in your regulatory obligations and how to meet requirements
- News as it happens
- Dedicated Member only website
- Legal advice services
- Enhancing your lender relationships

## SUPPORTING YOUR BUSINESS

At FIBA, we acknowledge that you are busy running a business, with the challenges and opportunities that brings. Our support recognises this important fact and ensures you are in the best position to focus on growth with minimal distraction.

- Access to dedicated regulatory support
- Preferential terms for professional indemnity insurance
- Preferential terms for business insurance
- 'Find a FIBA Member' functionality
- Easily accessible routes to profitably grow your client proposition in related areas such as:
  - Wills and probate
  - Life assurance protection
  - General insurance and more
- Corporate and personal credit check facility
- Access to a business insurance referral scheme
- FX opportunities for overseas trade
- Tax relief schemes - new builds and R&D
- Marketing and PR support services
- Access to business utility switching



## REGULATORY SUPPORT

As a business and a professional practice, you are subject to the demands of several regulators. FIBA is committed to providing you with important insight into keeping on top of your obligations, whilst ensuring you do not lose track. Under-compliance and over-compliance both bring risk into your business.

Our Member services will ensure you receive:

- **Updates on the activities of the regulators that matter - the FCA, the BoE, the Information Commission and data protection, together with information on the activities and developments amongst the organisations that affect our lives**
- **Updates at quarterly events in your region**
- **Optional access to a unique and comprehensive compliance support package on preferential terms which includes:**
  - **An online compliance resource hub for all your day-to-day documents, processes and questions**
  - **Access to a professionally staffed compliance helpline to obtain clear guidance**
  - **Guidance on GABRIEL and other regulatory returns**
  - **FCA application and Variation of Permissions support**
  - **On-site compliance visits and file reviews**



For further information, please visit our website or call and speak to a member of the team.

0207 033 8899

[www.fiba.org.uk](http://www.fiba.org.uk)

**The Financial Intermediary & Broker Association**  
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